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SSB Docket No. 02-14

IN THE MATTER OF THE	§
APPLICATION FOR INVESTMENT	§
ADVISER REGISTRATION OF	§
ASSET PLANNING GROUP, INC. AND	§
THE INVESTMENT ADVISER	§
REPRESENTATIVE REGISTRATION	§
OF RAYMOND OLIVER STREU	§

Order No. CAF-1459

TO: Raymond Oliver Streu, President Asset Planning Group, Inc. (CRD #116342) 1616 S. Kentucky, Building C. Suite 350 Amarillo, Texas 79102

> Raymond Oliver Streu (CRD #438375) 1616 S. Kentucky, Building C. Suite 350 Amarillo, Texas 79102

DISCIPLINARY ORDER REPRIMANDING AND FINING AN INVESTMENT ADVISER AND REPRIMANDING AN INVESTMENT ADVISER REPRESENTATIVE

Be it remembered that Asset Planning Group, Inc. ("Respondent APG"), by and through Raymond Oliver Streu, its President, and Raymond Oliver Streu ("Respondent Streu"), individually, (collectively "Respondents") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner"), and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

Respondents have waived (a) Respondents' right to appear and present evidence 1. in this matter; (b) Respondents' right to appeal this Order; and (c) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2002) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 2002) ("Administrative Procedure Act").

- 2. Respondent APG is a Texas corporation with a business address of 1616 South Kentucky, Building C, Suite 350, Amarillo, Texas. Respondent Streu is President of Respondent APG.
- 3. Respondent APG was previously registered with the Securities Commissioner as an investment adviser from on or about January 1, 1985, to on or about December 31, 2000. Respondent Streu was previously registered with the Securities Commissioner as an investment adviser representative from on or about January 1, 1985, to on or about December 31, 2000.
- 4. Respondent APG has filed for registration with the Securities Commissioner as an investment adviser, which is currently pending. Respondent Streu has filed for registration with the Securities Commissioner as investment adviser representative of Respondent APG, which is currently pending.
- 5. Respondent APG has rendered services as an investment adviser at a time when Respondent APG was not registered with the Securities Commissioner, as required by Section 12 of the Texas Securities Act.
- 6. Respondent Streu has rendered services as investment adviser representative at a time when he was not registered with the Securities Commissioner, as required by Section 12 of the Texas Securities Act.

CONCLUSIONS OF LAW

- 1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order reprimanding an investment adviser and an investment adviser representative.
- 2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order assessing an administrative fine against an investment adviser.

<u>ORDER</u>

- 1. It is therefore ORDERED that the investment adviser registration of Asset Planning Group, Inc. and the investment adviser representative registration of Raymond Oliver Streu are hereby GRANTED.
- 2. It is further ORDERED that Asset Planning Group, Inc. and Raymond Oliver Streu are hereby REPRIMANDED.
- 3. It is further ORDERED that Asset Planning Group, Inc. is hereby assessed AN ADMINISTRATIVE FINE in the amount of Twenty Thousand Dollars (\$20,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Twenty Thousand Dollars (\$20,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

Disciplinary Order/Asset Planning Group, Inc., et al./Page 2

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 13th day of may, 2002.

DENISE VOIGT CRAWFORD Securities Commissioner

Respondents:

haser Planning Group, Inc.

Raymond Oliver Streu, President

Rayroond Oliver Strey, Individually

Approved as to Form:

Michael Northcutt

Director

Registration Division

David Grauer

Director

Enforcement Division

ACKNOWLEDGMENT

On the day of May, 2002, Asset Planning Group, Inc. ("Respondent APG"), by and through Raymond Oliver Street, its President, personally appeared before me, executed the foregoing Order, and acknowledged that:

- 1. Raymond Oliver Streu is duly authorized to enter into the foregoing Order on behalf of Respondent APG:
- 2. Raymond Oliver Streu has read the foregoing Order;
- 3. Respondent APG has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
- 4. Respondent APG knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and,
- 5. Respondent APG, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.

GLORIA E. WOSSUM
NOTARY PUBLIC.
STATE OF TEXAS
My Commission Expires 8-16-2003
[affix notary seal field]

Notary Public in and for The State of

My commission expires on: 8.16.03

ACKNOWLEDGMENT

On the day of May, 2002, Raymond Oliver Streu ("Respondent Streu") personally appeared before me, executed the foregoing Order, and acknowledged that:

- 1. Respondent Streu has read the foregoing Order;
- 2. Respondent Streu has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent Streu knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and,
- 4. Respondent Streu, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.

GLORIA E. WOSSUM
NOTARY PUBLIC.
STATE OF TEXAS
My Commission Expires 8-16-2003

[affix notary seal here]

Notany Public in and for The State of Eu

My commission expires on: 8-14-03